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# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. ORB-024

Total Pages in this Submission 56

### TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

|                                                                                                      |                                                                                                          |             |            | filing under 35   | U.S     | .C. 111(a) and    | 37 C.F.R. 1.53  | (b) is a new utility patent ap | oplication for an |
|------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|-------------|------------|-------------------|---------|-------------------|-----------------|--------------------------------|-------------------|
| invention entitled: AIRCRAFT AND MISSILE FOREBODY FLOW CONTROL DEVICE AND METHOD OF CONTROLLING FLOW |                                                                                                          |             |            |                   |         |                   |                 |                                |                   |
|                                                                                                      |                                                                                                          |             |            |                   |         |                   |                 |                                |                   |
|                                                                                                      |                                                                                                          | l la        |            |                   |         |                   |                 |                                | 25                |
|                                                                                                      | and invented by:  Mehul Patel, Frederick Lisy, Jack DiCocco, Reed Carver, Robert Schmidt and Troy Prince |             |            |                   |         |                   |                 |                                | 0°C               |
|                                                                                                      |                                                                                                          | ·           |            | • /               |         |                   |                 | ·                              | 356<br>0/7        |
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| lf a (                                                                                               | CONT                                                                                                     | INUA        | TION AF    | PLICATION,        | chec    | k appropriate b   | ox and supply   | the requisite information:     | <del>, _</del>    |
| ×                                                                                                    | Conti                                                                                                    | nuat        | ion 🗆      | Divisional        |         | Continuation      | -in-part (CIP)  | of prior application No.:      | 10/336,117        |
|                                                                                                      | ch is a                                                                                                  |             | _          | ·                 | _       |                   |                 |                                |                   |
|                                                                                                      | Conti                                                                                                    |             | ion 📙      | Divisional        |         | Continuation      | -in-part (CIP)  | of prior application No.:      |                   |
|                                                                                                      | ch is a<br><b>Cont</b> i                                                                                 |             | ion 🗆      | Divisional        | П       | Continuation      | in-part (CIP)   | of prior application No.:      |                   |
|                                                                                                      |                                                                                                          |             |            | Dividional        | _       |                   | part (0 )       | or prior apprioación vion      |                   |
| .Encl                                                                                                | osed a                                                                                                   | are:        |            |                   |         | Applicatio        | n Elements      | ·                              |                   |
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|                                                                                                      | a.                                                                                                       | X           | Descrip    | tive Title of the | e Inv   | ention            | •               |                                | •                 |
|                                                                                                      | b.                                                                                                       | ×           | Cross R    | teferences to     | Relat   | ted Applications  | (if applicable) |                                |                   |
|                                                                                                      | C.                                                                                                       | <b>×</b>    | Stateme    | ent Regarding     | Fede    | erally-sponsored  | d Research/De   | velopment (if applicable)      |                   |
|                                                                                                      | d.                                                                                                       |             | Referen    | ce to Sequen      | ce Li   | sting, a Table, o | or a Computer   | Program Listing Appendix       |                   |
|                                                                                                      | e.                                                                                                       | X           |            | ound of the Inv   |         |                   | ·               |                                |                   |
|                                                                                                      |                                                                                                          | _<br> X     | _          | mmary of the      |         |                   |                 |                                |                   |
|                                                                                                      | g.                                                                                                       |             |            | •                 |         | awings (if filed) |                 |                                |                   |
|                                                                                                      |                                                                                                          | $\boxtimes$ |            | Description       | J D.    | go (# ##0d)       |                 |                                |                   |
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|                                                                                                      | i. 🖸 Claim(s) as Classified Below                                                                        |             |            |                   |         |                   |                 |                                |                   |
|                                                                                                      | j. 🗵 Abstract of the Disclosure                                                                          |             |            |                   |         |                   |                 |                                |                   |

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. ORB-016C1

Total Pages in this Submission 56

### **Application Elements (Continued)** Drawing(s) (when necessary as prescribed by 35 USC 113) **Formal** Number of Sheets Informal Number of Sheets b. 🗆 Oath or Declaration Newly executed (original or copy) $\Box$ Unexecuted Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only) c. \(\mathbb{Z}\) With Power of Attorney ☐ Without Power of Attorney DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix) ☐ Application Data Sheet (See 37 CFR 1.76) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included) a. Computer Readable Form (CFR) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. 🔲 Paper Statement(s) Verifying Identical Paper and Computer Readable Copy **Accompanying Application Parts** Assignment Papers (cover sheet & document(s)) 10. ☐ 37 CFR 3.73(B) Statement (when there is an assignee) 11. ☐ English Translation Document (if applicable) ☑ Information Disclosure Statement/PTO-1449 ☑ Copies of IDS Citations 12. 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Certificate of Mailing First Class Express Mail (Specify Label No.): ER366284867US

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. ORB-016C1

Total Pages in this Submission 56

| 17. | $\boxtimes$ | Applicant claims small entity status. See 37 CFR 1.27.                                                                                                                                                                                                                                                                                                                                                                                      |
|-----|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|     |             | ☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted:                                                                                                                                                                                                                                                                                                                                                            |
|     |             |                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 18. |             | Additional Enclosures (please identify below):                                                                                                                                                                                                                                                                                                                                                                                              |
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|     |             |                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|     |             | Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)                                                                                                                                                                                                                                                                                                                                                                   |
| 19. | X           | Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application. |
|     |             | Warning                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|     |             | An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice                                                        |

**Accompanying Application Parts (Continued)** 

within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice

was unintentional.

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CC:

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. ORB-016C1

Total Pages in this Submission 56

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### Fee Calculation and Transmittal

#### **CLAIMS AS FILED** For #Filed #Allowed #Extra Rate Fee \$0.00 \$9.00 **Total Claims** 20 - 20 = 0 \$0.00 3 . 0 \$43.00 Indep. Claims - 3 = х \$0.00 Multiple Dependent Claims (check if applicable) \$385.00 **BASIC FEE** \$0.00 OTHER FEE (specify purpose) **TOTAL FILING FEE** \$385.00 ☐ A check in the amount of to cover the filing fee is enclosed. ☑ The Director is hereby authorized to charge and credit Deposit Account No. 502704 as described below. □ Charge the amount of the control of the \$385.00 as filing fee. ☑ Credit any overpayment. □ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance pursuant to 37 C.F.R. 1.311(b). Dated: 1/28/2004

Page 4 of 4

| CERTIFICATE OF pplicant(s): Patel et al | MAIL" (37 CFR 1.10)              | Docket No.<br>ORB-024                                  |                         |  |
|-----------------------------------------|----------------------------------|--------------------------------------------------------|-------------------------|--|
| Serial No. Filing Date                  |                                  | Examiner                                               | Group Art Unit          |  |
| vention: AIRCRAFT                       | AND MISSILE FOREBODY FL          | OW CONTROL DEVICE AND M                                | METHOD OF               |  |
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| 37 CFR 1.10 in an env                   | elope addressed to: Director of  | the United States Patent and Tra                       | demark Office, P.O. Box |  |
| 1450, Alexandria, VA                    | 22313-1450 on                    | 1/28/2004<br>(Date)                                    |                         |  |
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|                                         |                                  | Brian M. Kolko<br>(Typed or Printed Name of Person Mai |                         |  |
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| CERTIFICATE OF             | MAILING BY "EXPRESS              | MAIL " (37 CEP 1 10)                    | Docket No.               |
|----------------------------|----------------------------------|-----------------------------------------|--------------------------|
| Applicant(s): Patel et al  | (37 CFR 1.10)                    | ORB-024                                 |                          |
| Serial No.                 | Filing Date                      | Examiner                                | Group Art Unit           |
|                            |                                  |                                         |                          |
| Invention: AIRCRAFT        | AND MISSILE FOREBODY FL          | OW CONTROL DEVICE AND                   | METHOD OF                |
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| 37 CFR 1.10 in an env      | velope addressed to: Director of | the United States Patent and Tr         | ademark Office, P.O. Box |
| 1450, Alexandria, VA       | 22313-1450 on                    | 1/28/2004                               |                          |
|                            |                                  | (Date)                                  |                          |
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|                            |                                  | Brian M. Kolk                           |                          |
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| CERTIFICATE OF             | MAILING BY "EXPRESS              | MAIL" (37 CFR 1.10)                 | Docket No.                |
| Applicant(s): Patel et al  |                                  | ORB-024                             |                           |
| Serial No.                 | Filing Date                      | Examiner                            | Group Art Unit            |
| Invention: AIRCRAFT        | AND MISSILE FOREBODY FL          | OW CONTROL DEVICE AND               | METHOD OF                 |
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| 37 CFR 1.10 in an env      | velope addressed to: Director of | the United States Patent and Tr     | ademark Office, P.O. Box  |
| 1450, Alexandria, VA       | 22313-1450 on                    | 1/28/2004<br>(Date)                 |                           |
|                            |                                  | · Brian M.· Kolk                    |                           |
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PTO/SB/35 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

| First Named Inventor   | Patel et al.       |
|------------------------|--------------------|
| Title Fina Co          | to Device and Meta |
| Attorney Docket Number | er ORB-OLLCI       |

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Date

61649-0326

Telephone number

Signature

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).** 

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| CERTIFICATE OF            | MAILING BY "EXPRESS I                 | MAIL" (37 CFR 1.10)                                                                | Docket No.                               |
| Applicant(s): Patel et al |                                       | ORB-024                                                                            |                                          |
| Serial No. Filing Date    |                                       | Examiner                                                                           | Group Art Unit                           |
| Invention: AIRCRAFT       | AND MISSILE FOREBODY FL               | OW CONTROL DEVICE AN                                                               | D METHOD OF                              |
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| 37 CFR 1.10 in an env     | velope addressed to: Director of      | the United States Patent and                                                       | Trademark Office, P.O. Box               |
| 1450, Alexandria, VA      | 22313-1450 on                         | 1/28/2004<br>(Date)                                                                |                                          |
|                           |                                       | Brian M. Kol<br>(Typed or Priviled Name of Herson)<br>(Signature of Person Mailing | Mailing (Srrespondence)  Correspondence) |
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| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)  Applicant(s): Patel et al  ORB-024 |                                  |                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |  |  |  |  |
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| Serial No.                                                                                 | Filing Date                      | Examiner                                                                    | Group Art Unit                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |  |  |  |  |  |
| Invention: AIRCRAFT                                                                        | AND MISSILE FOREBODY FL          | OW CONTROL DEVICE ANI                                                       | O METHOD OF                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |  |  |  |  |  |
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| I hereby certify that the                                                                  | is Patent Application and Draw   |                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |  |  |  |  |
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|                                                                                            | velope addressed to: Director of | the Officed States Patent and 1                                             | Trademark Office, P.O. Box                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  |  |  |  |  |
| 1450, Alexandria, VA                                                                       | 22313-1450 on                    | 1/28/2004<br>(Date)                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |  |  |  |  |
|                                                                                            |                                  | Brian M. Kol (Typed or Printed Name of Person) (Signature of Person Mailing | Mailing Correspondence)  GOVERNMENT OF THE STREET OF THE S |  |  |  |  |  |
|                                                                                            |                                  | ("Express Mail" Mailing                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |  |  |  |  |
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| CERTIFICATE OF I        | MAIL" (37 CFR 1.10)                                                                                                                                                                                                                                                                                               | Docket No.  ORB-024                                                                       |                     |  |  |  |  |  |  |
| Serial No.              | Filing Date                                                                                                                                                                                                                                                                                                       | Examiner                                                                                  | Group Art Unit      |  |  |  |  |  |  |
| Invention: AIRCRAFT     | AND MISSILE FOREBODY FLO                                                                                                                                                                                                                                                                                          | OW CONTROL DEVICE AND ME                                                                  | THOD OF CONTROLLING |  |  |  |  |  |  |
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| is being deposited with | I hereby certify that this Preliminary Amendment  (Identify type of correspondence)  is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  37 CFR 1.10 in an envelope addressed to: Director of the United States Patent and Trademark Office, P.O. Box |                                                                                           |                     |  |  |  |  |  |  |
| 1450, Alexandria, VA    |                                                                                                                                                                                                                                                                                                                   | 1/28/2004<br>(Date)                                                                       |                     |  |  |  |  |  |  |
|                         | ·                                                                                                                                                                                                                                                                                                                 | Brian M. Kolkow (Typed or Printed Name of Person Mailin (Signature of Person Mailing Corn | ng Congspondentel   |  |  |  |  |  |  |
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